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January 25, 2002

#### ATTORNEY DOCKET NO.: REGEN1260-3

Parent Application No.: 09/396,003 Parent Filing Date: September 13, 1999

Parent Inventors: Roger Y. Tsien, Roger Heim and Andrew Cubitt

#### **BOX PATENT APPLICATION**

Commissioner for Patents Washington, D.C. 20231

Presented for filing is a new **Continuation** patent application of:

Applicants: Roger Y. Tsien, Roger Heim and Andrew Cubitt

TANDEM FLUORESCENT PROTEIN CONSTRUCTS Title:

This is a request for filing a continuation application under 37 C.F.R. § 1.53(b), of prior U.S. Application Serial No. 09/396,003 filed September 13, 1999, which is a continuation of U.S. Serial No. 08/792,553, filed January 31, 1997 (now U.S. Patent No. 5,981,200), which is a continuation-in-part of U.S. Serial No. 08/594,575, filed January 31, 1996.

The prior application is assigned of record to two entities: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, by virtue of an assignment submitted to the Patent and Trademark Office for recording on April 23, 1996, at Reel 0859, Frame 4575. The second entity is AURORA BIOSCIENCES CORPORATION, by virtue of an assignment submitted to the Patent and Trademark Office for recording on June 18, 1997, at Reel 8591, Frame 0069.

Enclosed are the following papers, including all those required to receive a filing date under 37 CFR § 1.53(b):

> "EXPRESS MAIL" Mailing Label Number: EV 016 236 959 US Date of Deposit: January 25, 2002

I hereby certify under 37 C.F.R. § 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231

ALDON GRIFFIS (TYPED OR PRINTED NAME OF PERSON MAILING PAPER)

(PER OR FEE)

SILICON VALLEY SAN DIEGO SAN DIEGO/GOLDEN TRIANGLE SAN FRANCISCO AUSTIN SEATTLE SACRAMENTO LA JOLLA

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Tsien et al.

Art Unit: Examiner

Unassigned Unassigned

Application No.: Filed:

To be assigned

Herewith

Title:

TANDEM FLUORESCENT PROTEIN CONSTRUCTS

#### **BOX PATENT APPLICATION**

Commissioner for Patents Washington, D.C. 20231

# **VERIFIED STATEMENT UNDER 37 C.F.R. § 1.821(f)**

Sir:

I, Mikhail Bayley, declare that I personally prepared the paper and the computerreadable copies of the Sequence Listing filed herewith in the above-entitled case and that the content of both is the same.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of The United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 01/24/2002

Mikhail Bayley

GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1100 San Diego, CA 92121-2133

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO BOX PATENT APPLICATION, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231

Aldon Griffis

(TYPED OR PRINTED NAME OF PERSON MAILING PAPER)

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#### GRAY CARY WARE & FREIDENRICH LLP

**ATTORNEY DOCKET NO.: REGEN1260-3 BOX PATENT APPLICATION** 

January 25, 2002 Page Three

Enclosed is Check No. 499591 in the TOTAL amount of \$1,794.00; which consists of \$740.00 for the basic filing fee, \$270.00 for Fifteen (15) total additional claims over 20 claims and \$504.00 for Six (6) total additional claims over 3 independent claims. The Commissioner is hereby authorized to charge any additional fees associated with the filing submitted herewith, or credit any overpayment, to Deposit Account No. 50-1355. A duplicate copy of this Transmittal is enclosed.

If this application is found to be INCOMPLETE, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 677-1456.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

Lisa A. Haile, J.D., Ph.D. GRAY CARY WARE & FREIDENRICH LLP

4365 Executive Drive, Suite 1100 San Diego, CA 92121-2133 Telephone: (858) 677-1456

Facsimile: (858) 677-1465

Very truly yours.

#### GRAY CARY WARE & FREIDENRICH LLP

January 25, 2002

Date

Lisa A/Haile, J.D., Ph.D. Registration No. 38,347 Telephone: (858) 577-1456

Facsimile: (858) 677-1465

LAH/RJI:ag Enclosures

#### GRAY CARY WARE & FREIDENRICH LLP



# ATTORNEY DOCKET NO.: REGEN1260-3 BOX PATENT APPLICATION

January 25, 2002 Page Two

	Pages
Specification	62
Claims	9
Abstract	1
Signed Declaration/POA (from parent)	2
Formal Drawings	10

#### Enclosures:

- Preliminary Amendment (18 pages with Exhibit A);
- Copy of One (1) Month Extension of Time from Parent (2 pages);
- Verified Statement Under 37 C.F.R. § 1.821(f) (1 Page);
- Statement Under 37 C.F.R. §§ 1.821(f) and (g) (1 Page);
- Sequence Listing Hard Copy (10 Pages);
- Sequence Listing Computer Copy (1 Disk);
- Appointment of Associate Attorney (2 pages);
- Check No. 499591 in the amount of \$1,794.00; and
- Return Receipt Postcard.

This application is a **continuation** and claims the benefit of priority under 35 USC § 120 of U.S. Application Serial No. 09/396,003 filed September 13, 1999; which claims priority under 35 USC § 120 of U.S. Application Serial No. 08/792,553 filed January 31, 1997, issued as U.S. patent 5,91,200; which claims priority under 35 USC § 120 of U.S. Application Serial No. 08/594,575 filed January 31, 1996. The disclosure of the prior applications is considered part of and is incorporated by reference in the disclosure of this application.

	Number Filed		Number Extra		Rate			Fee	
					Small Entity	Large Entity		Small Entity	Large Entity
Total Claims	<b>35-</b> 20	=	15	X	\$09	\$18	=		\$ 270.00
Independent Claims	9-3	=	6	Х	\$42	\$84	=	\$	\$ 504.00
Multiple Dependent Claims Presented:X_ Yes No				\$140	\$280		\$	\$ 280.00	
				BASIC FEE		\$ 370.00	\$ 740.00		
					TO	TAL FEE			\$1,794.00

Attorney Docket No.: REGEN1260-3

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Unassigned Tsien et al. Art Unit: Applicant: Unassigned Examiner To be assigned Application No.:

Filed: Herewith

TANDEM FLUORESCENT PROTEIN CONSTRUCTS Title:

# **BOX PATENT APPLICATION**

Commissioner for Patents Washington, D.C. 20231

# STATEMENT UNDER 37 C.F.R. §§ 1.821(f) and (g)

Sir:

I hereby state, as required by 37 C.F.R. § 1.821(f), that the information recorded in computer readable form is identical to the written sequence listing.

I hereby state that the submission, filed in accordance with 37 C.F.R. § 1.821 (g), herein does not include new matter.

Respectfully submitted,

January 25, 2002

Lisa A. Haile, J.D., Ph.D.

Reg. No. 38,347

Telephone: (858) 677-1456 Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1100 San Diego, CA 92121-2133

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In re Application of:

Tsien et al.

Application No.: 09/396,003 Filed: September 13, 1999

Page 2

**PATENT** Attorney Docket No.: REGEN1260-2

Enclosed is Check No. 499480 in the amount of \$110.00; which consists of the fee for One (1) Month Extension of Time. The Commissioner is hereby authorized to charge any other fees associated with the filing submitted herewith, or credit any overpayments to Deposit Account No. 50-1355. A copy of this Petition Sheet is enclosed.

Respectfully submitted,

Date: January 25, 2002

Lisa A. Haile, J.D., Ph.D. Registration No. 38,347 Telephone: (858) 677-1456

Facsimile: (858) 677-1465

**USPTO Customer Number 28213** GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1100 San Diego, CA 92121-2133